



IPEDS FINANCIAL AID SURVEY

IPEDS CATEGORY ASSIGNMENT

Revisions

Change Reference	Date	Version
Original Version	8/2/2023	1.0
Added section describing which Item Type codes are excluded from IPEDS reporting	9/11/2023	1.1
Added information on how to request a new Item Type with IPEDS Category association.	9/12/2023	1.2

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IPEDS Categories

Why do we need IPEDS Categories?

The State Board submits financial aid information to IPEDS on behalf of the colleges each year. The Financial Aid Survey is part of the IPEDS Winter Collection Cycle. The aggregated number of students receiving financial aid and the amount disbursed must be reported by the predefined IPEDS Category. The source of the reporting is the SBCTC Data Warehouse.

How are IPEDS Categories mapped to financial aid?

Enhancement request #137 was approved in the spring of 2022 and consisted of leveraging the Keyword1 data element in the Financial Aid module of ctcLink. Keyword1 was not being used and allows for designating a set of valid values. Keyword1 will represent the IPEDS Category and will be extracted for the SBCTC Data Warehouse where the reporting is generated.

The information in this document is intended to assist colleges with the assignment of an IPEDS Category to any new Financial Aid Item Types.

During the summer of 2023, Data Services staff worked with the ctcLink Support organization to do the initial assignment of IPEDS Categories using a bulk update SQL script. Once the original assignments are updated, all additional assignment of IPEDS Categories will be done at the time of the Item Type creation.

The initial association of IPEDS Categories was primarily based on the smart coding of the Item Type. See the [Financial Aid Item Type to IPEDS Keyword1 Mapping](#) section of this document for more detail.

IPEDS Financial Aid Categories

IPEDS AID CATEGORY	KEYWORD1	DESCRIPTION (see Page 2 for full IPEDS definitions)	EXAMPLES
Federal Grants Pell	FED_PELL	Federal Pell Grants	
Federal Grants Other	FED_OTHER	Other Federal Grant or Scholarship Aid (not Pell, not Veterans Aid)	ACG, Perkins, FSEOG, SMART, Gear-Up, TRiO, CARES Act
Federal WorkStudy	FED_WRKST	Federal WorkStudy	
Other WorkStudy*	WORKSTUDY	All Other non-Federal WorkStudy	State or Institutional Workstudy, Workfirst Workstudy
Institutional Grants or Scholarships	INSTITUTN	Institutional Grant or Scholarship Aid	2.5/3.5% Waiver, 3% Waiver, Veterans Waivers, No Need Waivers
Federal Loans to Students	LOAN_FED	Federal Loans (do not include loans that are not made directly to the student such as PLUS/Parent Loans)	Perkins, Nursing, Sub Direct, Stafford, Unsub Direct
Private Loans to Students	LOAN_OTHER	Alternative, Private or Institutional Loans (do not include loans that are not made directly to the student)	
Parent Loans**	PLUS	Parent Loans (loans not made directly to the student)	PLUS Loans
Veterans Benefits***	VETERAN	Federal Veterans Education Benefits (other types of Veterans aid should be reported as PRIVATE, INSTITUTIONAL or STATE)	
Private Grants or Scholarships	PRIVATE	Private or Outside Grant or Scholarship Aid	College Success Foundation, Rotary Club Scholarship
State/local Government Grants	STATE	State Grant or Scholarship Aid	SNG/WCG, Worker Retraining, Workfirst, BFET, Opp Grants, EAG, LEP, High School Tuition Waiver, Need-Based Tuition Waivers, Covid Relief Aid (non-Cares Act)
NOT REPORTABLE	NOT_REP	Any other aid not reportable to IPEDS	

*Non Federal Workstudy will be excluded from IPEDS reporting

**PLUS/Parent Loans will be excluded from IPEDS reporting

***Federal Veterans Education Benefits will be excluded from Section I IPEDS reporting, but non-Federal Veterans aid is still reportable under categories such as PRIVATE aid (e.g. Veterans Scholarships) or INSTITUTIONAL aid (e.g. Veterans Waivers) or STATE aid (e.g. Books for 72 Dependents) etc.

Financial Aid Category definitions obtained from the IPEDS Student Financial Aid Survey Instructions:

<https://surveys.nces.ed.gov/ipeds/public/survey-materials/results>

The following types of financial aid should be reported in this component:

Federal grants (grants/educational assistance funds): Grants provided by federal agencies such as the U.S. Department of Education, including Title IV federal student aid grants such as Pell grants, Federal Supplemental Educational Opportunity Grants (FSEOG), Academic Competitiveness Grants (ACG), National Science and Mathematics Access to Retain Talent (National SMART) Grants, and Teacher Education Assistance for College and Higher Education (TEACH) Grants. Also include need-based and merit-based educational assistance funds and training vouchers provided from other federal agencies and/or federally-sponsored educational benefits programs.

Do not include veterans education benefits, as defined in section 480(c) of the HEA, as they are no longer treated as Estimated Financial Assistance (EFA) for the Office of Federal Student Aid's purposes. For more information, visit <https://ifap.ed.gov/electronic-announcements/08-13-2009-general-subject-guidance-federal-veterans-education-benefits>.

Federal loans to students: Monies from the federal government that must be repaid for which the student is the designated borrower. This type of aid includes all Title IV federal student loan aid such as Federal Perkins Loans, Subsidized Direct or FFEL Stafford Loans, and Unsubsidized Direct or FFEL Stafford Loans. Do not include PLUS loans and other federal loans not made directly to the student.

State/local government grants (grants/scholarships/waivers): Grants that were awarded by your state such as Leveraging Educational Assistance Partnerships (LEAP) (formerly SSIGs) and Robert C. Byrd Honors Scholarships. Include merit-based grants or scholarships that were provided by your state or local government. Also include tuition and fee waivers for which your institution was reimbursed by a state or local government agency.

Institutional grants or scholarships (scholarships/waivers): Grants, scholarships, and fellowships granted and funded by the institution and/or individual departments within the institution and are limited to students attending your institution. Also include scholarships targeted to certain individuals (e.g., based on state of residence, major, or participation in athletic activities) for which the institution designates the recipient. Also include institutional tuition and fee waivers for which your institution was not reimbursed by a state or local government agency. This is not intended to include Federal Work Study.

Private grants or scholarships: Grants or scholarships to students that are awarded and paid by an outside organization but are directed through the institution's financial aid office (e.g., Rotary Club Scholarship).

Private loans to students: Monies that must be repaid to the lending institution for which the student is the designated borrower. Include all institutionally and privately sponsored loans. Do not include loans that are not made directly to the student.

What NOT to Include

Do not report:

- Federal Work Study amounts into any total aid amounts
- ROTC aid, which are excluded from EFA calculations, in any total aid amounts
- Loans that are made to someone other than the student
- Military/veterans aid in Section 1 because such aid is ONLY reported in Section 2
- Experimental Pell grant amounts

Financial Aid Item Type to IPEDS Keyword1 Mapping

<https://ctclinkreferencecenter.ctclink.us/m/92427/l/934116-9-2-financial-aid-item-types>

1 st Position (Always "9")	2 nd Position (Aid Type)	3 rd Position (Funding Source)	4 th – 8 th Positions (filler)	9 th – 12 th Positions (Program Specific)
9 = Financial Aid	1 = Grants 2 = Scholarship 3 = Work Study 4 = 3 rd Party Sponsorship 5 = VA Benefits 6 = Loans 7,8,9 = Not used	1 = Federal 2 = State 3 = Institution 4 = Other	Zeros "0"	Numeric Values

Example of Logic



IPEDS AID CATEGORY	KEYWORD1	Selection Criteria
Federal Grants Pell	FED_PELL	ITEM_TYPE LIKE 911% AND FEDERAL_ID = PELL
Federal Grants Other	FED_OTHER	ITEM_TYPE LIKE 911% AND FEDERAL_ID <> PELL OR LIKE 921% OR LIKE 941% OR ITEM_TYPE LIKE 920% AND FA_SOURCE = G OR 999% AND FA_SOURCE = G
Federal Work Study	FED_WRKST	ITEM_TYPE LIKE 931%
Other Work Study	WORKSTUDY	ITEM_TYPE LIKE 93% AND NOT LIKE 931%
Institutional Grants or Scholarships	INSTITUTN	ITEM_TYPE LIKE 913% AND <> 913000000430 AND <> 913000000410 OR LIKE 943% OR LIKE 953% OR LIKE 963% OR LIKE 97% OR LIKE 923% AND FA_SOURCE = I OR LIKE 920% AND FA_SOURCE = I OR LIKE 999% AND FA_SOURCE = I OR ITEM_TYPE = 922000000303
Federal Loans to Students	LOAN_FED	ITEM_TYPE LIKE 961% AND FEDERAL_ID <> PLUS AND <> GPLS
Private Loans to Students	LOAN_OTHER	ITEM_TYPE LIKE 96% AND FEDERAL_ID <> PLUS AND <> GPLS AND ITEM_TYPE NOT LIKE 961%
Parent Loans	PLUS	ITEM_TYPE LIKE 96% AND FEDERAL_ID = PLUS OR GPLS
Veterans Benefits	VETERAN	ITEM_TYPE LIKE 951% OR ITEM_TYPE = 940000001000 OR ITEM_TYPE = 940000001100 OR ITEM_TYPE = 952000000000
Private Grants or Scholarships	PRIVATE	ITEM_TYPE LIKE 914% OR LIKE 924% OR LIKE 934% OR LIKE 944% OR LIKE 954% OR LIKE 920% AND FA_SOURCE = O OR LIKE 923% AND FA_SOURCE <> I OR LIKE 999% AND FA_SOURCE = O OR ITEM_TYPE = 940000001400 OR ITEM_TYPE = 940000001300 OR ITEM_TYPE = 940000001200 OR ITEM_TYPE = 940000000500 OR SETID = WA220 AND ITEM_TYPE = 931000000200
State/local Government Grants	STATE	ITEM_TYPE LIKE 912% OR LIKE 922% AND <> 922000000303 OR LIKE 942% OR LIKE 952% AND <> 952000000000 OR LIKE 920% AND FA_SOURCE = S OR LIKE 999% AND FA_SOURCE = S OR ITEM_TYPE LIKE '940%' AND 9 th digit = 0 AND <> 940000000500 OR ITEM_TYPE = 913000000430 OR ITEM_TYPE = 913000000410
NOT REPORTABLE	NOT_REP	ALL OTHER 9% ITEM TYPES

Financial Aid Item Type to IPEDS Category Mapping Query

Query QCS_FA_IPEDS_KEYWORD_REV has been developed for colleges purpose to review the mapping of Financial Aid Item Types to assigned IPEDS Category and compare to the calculated IPEDS category. SBCTC asks that colleges verify their mapping by August each year in preparation for the year-end financial aid snapshot. Any Item Types that are not associated with an IPEDS Category will not be reported to IPEDS.

Reporting of this information selects the current effective dated row Active status for the selected Aid Year Financial Aid Item Type and the reporting attributes associated with it. The selection criteria used for IPEDS is listed and the same calculated IPEDS value is also included in the query.

Financial Aid Item Types Excluded from IPEDS Reporting

Some ITEM_TYPES are not included in the IPEDS reporting.

They include :

- ITEM_TYPES mapped to “NOT_RPT” IPEDS Category
- ITEM_TYPES not mapped to an IPEDS Category

Requesting an IPEDS Category assignment to Item Type

The [Item Type Request Form ORG](#) (quick reference guide) provides instruction for assigning an IPEDS Category to an Item Type.

If you are requesting a new Item Type, you will need to designate the appropriate IPEDS Category using the information in this manual.

Please use this same process if you are requesting a change to the IPEDS Category assignment.



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Washington State Board for Community and Technical Colleges